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# Block numbers of permutations and Schur-positivity

Ron M. Adin<sup>1\*</sup>, Eli Bagno<sup>2†</sup> and Yuval Roichman<sup>1‡</sup>

<sup>1</sup>Department of Mathematics, Bar-Ilan University, Ramat-Gan, Israel <sup>2</sup>Department of Applied Mathematics, Jerusalem College of Technology, Jerusalem, Israel

**Abstract.** The *block number* of a permutation is the maximal number of components in its expression as a direct sum. We show that the distribution of the set of left-to-right-maxima over 321-avoiding permutations with a given block number k is equal to the distribution of this set over 321-avoiding permutations with the last descent of the inverse permutation at position n - k. This result is analogous to the Foata-Schützenberger equi-distribution theorem, and implies Schur-positivity of the quasi-symmetric generating function of descent set over 321-avoiding permutations with a prescribed block number.

**Keywords:** Schur positivity, permutation statistics, pattern avoidance, quasi-symmetric function.

# 1 Introduction

Given any subset *A* of the symmetric group  $S_n$ , define the quasi-symmetric function

$$\mathcal{Q}(A) \coloneqq \sum_{\pi \in A} \mathcal{F}_{n, \operatorname{Des}(\pi)},$$

where  $\text{Des}(\pi) := \{i : \pi(i) > \pi(i+1)\}$  is the *descent set* of  $\pi$  and  $\mathcal{F}_{n,D}$  (for  $D \subseteq [n-1]$ ) are Gessel's *fundamental quasi-symmetric functions;* see Section 2.3 for more details. The following long-standing problem was first posed in [10].

**Problem 1.1.** For which subsets  $A \subseteq S_n$  is Q(A) symmetric?

A symmetric function is *Schur-positive* if all the coefficients in its expansion in the basis of Schur functions are nonnegative. Determining whether a given symmetric function is Schur-positive is a major problem in contemporary algebraic combinatorics [16].

Call a subset  $A \subseteq S_n$  Schur-positive if Q(A) is symmetric and Schur-positive. Classical examples of Schur-positive sets of permutations include inverse descent classes [9],

<sup>\*</sup>radin@math.biu.ac.il

<sup>&</sup>lt;sup>+</sup>bagnoe@jct.ac.il

<sup>&</sup>lt;sup>‡</sup>yuvalr@math.biu.ac.il

Knuth classes [9], conjugacy classes [10, Theorem 5.5], and permutations with a fixed inversion number [2, Prop. 9.5].

New constructions of Schur-positive sets of permutations were described in [8] and [13]. Inspired by these examples, Sagan and Woo raised the problem of finding Schurpositive pattern-avoiding sets [13].

The goal of this paper is to present a new example of a Schur-positive set of permutations which involves pattern-avoidance: the set of 321-avoiding permutations having a prescribed number of blocks. We shall state that more explicitly.

A permutation  $\pi \in S_n$  is 321-*avoiding* if the sequence  $(\pi(1), \ldots, \pi(n))$  contains no decreasing subsequence of length 3. Denote by  $S_n(321)$  the set of 321-avoiding permutations in  $S_n$ . For a permutation  $\pi \in S_n$  let

$$bl(\pi) \coloneqq |\{i : (\forall j \le i) \ \pi(j) \le i\}$$

be the *block number* of  $\pi$ . The block number was studied in [17] and references therein, as the cardinality of the *connectivity set* of  $\pi$ . Denote

$$Bl_{n,k} \coloneqq \{\pi \in \mathcal{S}_n(321) : \operatorname{bl}(\pi) = k\}$$

Recall the *Frobenius characteristic map* ch, from class functions on  $S_n$  to symmetric functions, defined by  $ch(\chi^{\lambda}) = s_{\lambda}$  and extended by linearity. Our main result is:

**Theorem 1.2.** For any  $1 \le k \le n$ , the set  $Bl_{n,k}$  is Schur-positive. In fact, for  $1 \le k \le n-1$ 

$$\mathcal{Q}(Bl_{n,k}) = \operatorname{ch}(\chi^{(n-1,n-k)} \downarrow_{\mathcal{S}_n}^{\mathcal{S}_{2n-k-1}}),$$

where  $\chi \downarrow_H^G$  stands for the restriction of the character  $\chi$  from the group G to the group H; and, for k = n

$$\mathcal{Q}(Bl_{n,n}) = \operatorname{ch}(\chi^{(n)}) = s_{(n)}$$

The coefficients of the Schur expansion of  $Q(Bl_{n,k})$  are described in Equation (5.1) below.

The proof of Theorem 1.2 involves a left-to-right-maxima-preserving bijection and a resulting equi-distribution result. Specifically, let

$$ltrMax(\pi) \coloneqq \{i : \pi(i) = max\{\pi(1), ..., \pi(i)\}\}$$

be the set of *left-to-right maxima* in a permutation  $\pi$ . The *descent set* of  $\pi$  is

$$Des(\pi) := \{i : \pi(i) > \pi(i+1)\}.$$

Define

$$\operatorname{ldes}(\pi) \coloneqq \max\{i : i \in \operatorname{Des}(\pi)\}\$$

to be the *last descent* of  $\pi$ , with  $ldes(\pi) \coloneqq 0$  if  $Des(\pi) = \emptyset$  (i.e., if  $\pi$  is the identity permutation).

For every  $I \subseteq [n]$ , let  $\mathbf{x}^I := \prod_{i \in I} x_i$ . Our equi-distribution result is:

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**Theorem 1.3.** For every positive integer n

$$\sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{ltrMax}(\pi)} q^{\operatorname{bl}(\pi)} = \sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{ltrMax}(\pi)} q^{n - \operatorname{ldes}(\pi^{-1})}$$

See also Corollary 4.6 below.

**Remark 1.4.** An equivalent formulation, replacing  $\pi$  by  $\pi^{-1}$  and using  $bl(\pi^{-1}) = bl(\pi)$ , is:

$$\sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{ltrMax}(\pi^{-1})} q^{\operatorname{bl}(\pi)} = \sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{ltrMax}(\pi^{-1})} q^{n-\operatorname{ldes}(\pi)}.$$

It is reminiscent of the classical Foata-Schützenberger Theorem

$$\sum_{\pi \in \mathcal{S}_n} \mathbf{x}^{\operatorname{Des}(\pi^{-1})} q^{\operatorname{inv}(\pi)} = \sum_{\pi \in \mathcal{S}_n} \mathbf{x}^{\operatorname{Des}(\pi^{-1})} q^{\operatorname{maj}(\pi)};$$

see Observation 2.2 below.

After some necessary preliminaries in Section 2, we shall state a basic enumerative result in Section 3. Then Section 4 will outline the main idea in the proof of Theorem 1.3, and Section 5 will deduce Theorem 1.2 from a corollary of Theorem 1.3. Section 6 contains some final remarks.

# 2 Preliminaries

### 2.1 Statistics on permutations and on SYT

For a positive integer n let  $[n] := \{1, 2, ..., n\}$ , and let  $S_n$  denote the n-th symmetric group, the group of all permutations of [n].

**Observation 2.1.** If  $\pi \in S_n$  then the restriction of  $\pi$  to the set  $\operatorname{ltrMax}(\pi)$  is monotone increasing. If, moreover,  $\pi \in S_n(321)$  then the restriction of  $\pi$  to the complementary set  $[n] \setminus \operatorname{ltrMax}(\pi)$  is also monotone increasing.

**Observation 2.2.** If  $\pi \in S_n(321)$  then the set  $ltrMax(\pi)$  uniquely determines the set  $Des(\pi)$ . Explicitly, for any  $1 \le i \le n-1$ ,

$$i \in \text{Des}(\pi) \iff i \in \text{ltrMax}(\pi) \text{ and } i+1 \notin \text{ltrMax}(\pi).$$

For a permutation  $\pi \in S_n$  let

$$\operatorname{Ides}(\pi) \coloneqq \max\{i : i \in \operatorname{Des}(\pi)\},\$$

be its *last descent*, with  $ldes(\pi) \coloneqq 0$  if  $Des(\pi) = \emptyset$  (i.e., if  $\pi$  is the identity permutation).

For a skew shape  $\lambda/\mu$ , let  $height(\lambda/\mu)$  be the number of rows in  $\lambda/\mu$  and let SYT( $\lambda/\mu$ ) be the set of standard Young tableaux of shape  $\lambda/\mu$ . We use the English convention, according to which row indices increase from top to bottom (see, e.g., [12, Ch. 2.5]). The *descent set* of a standard Young tableau *T* is

 $Des(T) \coloneqq \{i : i + 1 \text{ appears in a lower row of } T \text{ than } i\}.$ 

Its *last descent* is

 $\operatorname{ldes}(T) \coloneqq \max\{i : i \in \operatorname{Des}(T)\},\$ 

with  $ldes(T) \coloneqq 0$  if  $Des(T) = \emptyset$ .

We shall make use of the Robinson-Schensted-Knuth (RSK) correspondence which maps each permutation  $\pi \in S_n$  to a pair  $(P_{\pi}, Q_{\pi})$  of standard Young tableaux of the same shape  $\lambda \vdash n$ . A detailed description can be found, for example, in [12, Ch. 3.1] or in [15, Ch. 7.11]. A fundamental property of the RSK correspondence is:

**Fact 2.3.** For each  $\pi \in S_n$ ,  $\text{Des}(P_\pi) = \text{Des}(\pi^{-1})$  and  $\text{Des}(Q_\pi) = \text{Des}(\pi)$ .

### 2.2 The *k*-fold Catalan number

Recall the *n*-th Catalan number, defined by

$$C_n := \frac{1}{n+1} \binom{2n}{n} = \binom{2n}{n} - \binom{2n}{n+1} \qquad (n \ge 0),$$

with generating function

$$c(x) \coloneqq \sum_{n=0}^{\infty} C_n x^n = \frac{1 - \sqrt{1 - 4x}}{2x}$$

For each  $0 \le k \le n$ , the *n*-th *k*-fold Catalan number  $C_{n,k}$  is the coefficient of  $x^n$  in  $(xc(x))^k$ . These numbers are also called *ballot numbers*, and form the Catalan triangle [14, A009766]. As proved by Catalan himself [6], they are given explicitly by

$$C_{n,k} = \frac{k}{2n-k} \binom{2n-k}{n} = \binom{2n-k-1}{n-1} - \binom{2n-k-1}{n} \qquad (1 \le k \le n)$$

and  $C_{n,0} = \delta_{n,0}$   $(n \ge 0)$ ; in particular,  $C_{n,1} = C_{n-1}$  for  $n \ge 1$ .

Among the many interpretations of  $C_{n,k}$  one can mention the number of lattice paths from (k, 1) to (n, n), consisting of steps (1, 0) and (0, 1), which never go strictly above the line y = x; see, e.g., [18, Cor. 16] which uses a slightly different indexing.

The following proposition, reformulating results presented in [7, 18], relates the *k*-fold Catalan numbers to 321-avoiding permutations and to standard Young tableaux.

**Proposition 2.4.** ([7, 18]) For positive integers  $1 \le k \le n$ ,

$$|\{\pi \in S_n(321) : \operatorname{ldes}(\pi^{-1}) = n - k\}| = |SYT(n - 1, n - k)| = C_{n,k}.$$

### 2.3 Quasi-symmetric functions

Schur functions  $\{s_{\lambda} : \lambda \vdash n\}$ , indexed by partitions of *n*, form a distinguished basis for the vector space  $\Lambda^n$  of symmetric functions which are homogeneous of degree *n*; see, e.g., [15, Corollary 7.10.6]. Recall that a symmetric function in  $\Lambda^n$  is *Schur-positive* if all the coefficients in its expansion in this basis of Schur functions are nonnegative.

**Definition 2.5.** [15, p. 7.19] A *quasi-symmetric function* (with rational coefficients) in the variables  $x = (x_1, x_2, ...)$  is a formal power series  $f(x) \in \mathbb{Q}[[x]]$ , of bounded degree, such that for any nonnegative integer exponents  $a_1, ..., a_k$  and any two increasing lists of indices  $i_1 < ... < i_k$  and  $j_1 < ... < j_k$ 

$$[x_{i_1}^{a_1} \cdots x_{i_k}^{a_k}]f = [x_{j_1}^{a_1} \cdots x_{j_k}^{a_k}]f$$

Clearly, every symmetric function is quasi-symmetric, but not conversely, as the series  $\sum_{i < j} x_i^2 x_j$  shows.

For each subset  $D \subseteq [n-1]$  define the fundamental quasi-symmetric function

$$\mathcal{F}_{n,D}(\mathbf{x}) \coloneqq \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n \\ i_j < i_{j+1} \text{ if } j \in D}} x_{i_1} x_{i_2} \cdots x_{i_n}.$$

Let  $\mathcal{B}$  be a (multi)set of combinatorial objects, equipped with a *descent map* Des :  $\mathcal{B} \rightarrow 2^{[n-1]}$  which associates to each element  $b \in \mathcal{B}$  a set  $Des(b) \subseteq [n-1]$ . Define the quasi-symmetric function

$$\mathcal{Q}(\mathcal{B}) \coloneqq \sum_{b \in \mathcal{B}} m(b, \mathcal{B}) \mathcal{F}_{n, \text{Des}(b)},$$

where m(b, B) is the multiplicity of the element b in B. With some abuse of terminology, we say that B is Schur-positive when Q(B) is.

The following key theorem is due to Gessel.

**Proposition 2.6.** [15, Theorem 7.19.7] *For every shape*  $\lambda \vdash n$ ,

$$\mathcal{Q}(\mathrm{SYT}(\lambda)) = s_{\lambda}$$

Recall the notation  $P_{\pi}$  from Section 2.1. For every standard Young tableau *T* of size *n*, the set

$$\mathcal{C}_T \coloneqq \{\pi \in \mathcal{S}_n : P_\pi = T\}$$

is the *Knuth class* corresponding to *T*. Fact 2.3 and Proposition 2.6 imply the following well-known result.

**Proposition 2.7.** *Knuth classes are Schur-positive.* 

The following lemma will be used in Section 5.

**Lemma 2.8.** [8, Lemma 8.1] For every Schur-positive set  $A \subseteq S_n$ , the (set) statistics Des and  $n - \text{Des} := \{n - i | i \in \text{Des}\}$  are equi-distributed over A.

# **3** The block number of a permutation

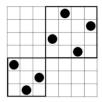
### 3.1 Definitions

Direct sums and block decomposition of permutations appear naturally in the study of pattern-avoiding classes [3, 4].

Let  $\pi \in S_m$  and  $\sigma \in S_n$ . The *direct sum* of  $\pi$  and  $\sigma$  is the permutation  $\pi \oplus \sigma \in S_{m+n}$  defined by

$$\pi \oplus \sigma \coloneqq \begin{cases} \pi(i), & \text{if } i \leq m; \\ \sigma(i-m) + m, & \text{otherwise.} \end{cases}$$

For example, if  $\pi$  = 312 and  $\sigma$  = 2413 then  $\pi \oplus \sigma$  = 3125746; see Figure 1.



**Figure 1:** The permutation 312 ⊕ 2413 = 3125746

A nonempty permutation which is not the direct sum of two nonempty permutations is called  $\oplus$ -*irreducible*. Each permutation  $\pi$  can be written uniquely as a direct sum of  $\oplus$ -irreducible ones, called the *blocks* of  $\pi$ ; their number, denoted by bl( $\pi$ ), is the *block* number of  $\pi$ .

**Example 3.1.** bl(45321) = 1, bl(31254) = 2, and bl(1234) = 4.

**Observation 3.2.** The block number of a permutation  $\pi \in S_n$  is

 $bl(\pi) = 1 + |\{1 \le i \le n - 1 : \max(\pi(1), \dots, \pi(i)) < \min(\pi(i+1), \dots, \pi(n))\}|.$ 

The following equivalent definition was proposed by Michael Joseph and Tom Roby [11].

#### **Observation 3.3.**

$$bl(\pi) = |\{1 \le i \le n : (\forall j \le i) \ \pi(j) \le i\}|.$$

### 3.2 Counting 321-avoiding permutations by block number

Recall from Section 2.2 the Catalan generating function

$$c(x) \coloneqq \sum_{n=0}^{\infty} C_n x^n.$$

One can prove without difficulty (see [1])

**Proposition 3.4.** For any fixed positive integer k, the ordinary generating function for the number of 321-avoiding permutations in  $S_n$   $(n \ge k)$  with exactly k blocks is  $(xc(x))^k$ .

Combining Proposition 3.4 with Proposition 2.4 we deduce

**Corollary 3.5.** For any integers  $1 \le k \le n$ ,

$$|\{\pi \in S_n(321) : bl(\pi) = k\}| = \{\pi \in S_n(321) : ldes(\pi^{-1}) = n - k\}|$$
  
= |SYT(n-1, n-k)|.

Corollary 3.5 is refined in this paper; see Theorem 1.3 above and Corollary 4.6 below.

# 4 Equi-distribution

**Definition 4.1.** For  $1 \le k \le n$  denote

$$Bl_{n,k} := \{ \pi \in S_n(321) : bl(\pi) = k \}$$

and

$$L_{n,k} = \{\pi \in S_n(321) : \operatorname{ldes}(\pi^{-1}) = k\}.$$

Theorem 1.3 is proved via a left-to-right-maxima-preserving bijection from  $Bl_{n,k}$  to  $L_{n,n-k}$ .

**Definition 4.2.** Define maps  $f_n : S_n(321) \to S_n(321)$ , recursively, for all  $n \ge 1$ . For n = 1 the definition is obvious, since  $S_1(321)$  consists of a unique permutation. For  $\pi \in S_n(321)$ ,  $n \ge 2$ , the recursive definition of  $f_n(\pi)$  depends on  $k := bl(\pi)$  and on the locations of the letters n - 1 and n in  $\pi$ . Distinguish the following three cases:

**Case A:**  $\pi^{-1}(n) = n$ , i.e., *n* is in the last position. Then: delete *n*, apply  $f_{n-1}$ , and insert *n* at the last position.

- **Case B:**  $\pi^{-1}(n-1) < \pi^{-1}(n) < n$ , i.e., *n* is to the right of n-1 but not in the last position. Then: delete *n*, apply  $f_{n-1}$ , insert *n* at the same position as in  $\pi$ , and multiply on the left by the transposition (n-k-1, n-k).
- **Case C:**  $\pi^{-1}(n) < \pi^{-1}(n-1)$ , i.e., n-1 is to the right of n (and must be the last letter, since  $\pi$  is 321-avoiding). Then: let  $\pi' \coloneqq (n-1,n)\pi$ , define  $f_n(\pi')$  according to case A above, and multiply it

on the left by the cycle (n - k, n - k + 1, ..., n).

**Remark 4.3.** This recursive definition yields a sequence of permutations  $(\pi_n, \pi_{n-1}, ..., \pi_1)$ , starting with  $\pi_n = \pi$ . For each  $2 \le i \le n$ ,  $\pi_{i-1} \in S_{i-1}$  is obtained from  $\pi_i \in S_i$  by deleting *i* from  $\pi_i$  (in cases *A* and *B*) or by deleting *i* from  $(i - 1, i)\pi_i$  (in case *C*). To recover  $f_i(\pi_i)$  from  $f_{i-1}(\pi_{i-1})$ , the letter *i* is inserted exactly where it was deleted (for example — in the last position, in cases *A* and *C*), and then the permutation is multiplied, on the left, by a suitable cycle.

**Example 4.4.** Let  $\pi = 31254786 \in S_8$ , so that  $bl(\pi) = 3$  and  $ltrMax(\pi) = \{1, 4, 6, 7\}$ . The recursive process is illustrated by the following diagram, where the arrow  $\pi_i \rightarrow \pi_{i-1}$  is decorated by the case and by the corresponding cycle.

$$\pi = \pi_8 = 31254786 \quad \xrightarrow{B} \quad \pi_7 = 3125476 \xrightarrow{C} \quad \pi_6 = 312546$$
$$\xrightarrow{A} \quad \pi_5 = 31254 \xrightarrow{C} \quad \pi_4 = 3124 \xrightarrow{A} \quad \pi_3 = 312$$
$$\xrightarrow{C} \quad \pi_2 = 21 \xrightarrow{C} \quad \pi_1 = 1.$$

$$\begin{array}{ccc} f_1(\pi_1) = 1 & \xrightarrow{(12)} & f_2(\pi_2) = 21 \xrightarrow{(23)} & f_3(\pi_3) = 312 \longrightarrow f_4(\pi_4) = 3124 \\ & \xrightarrow{(345)} & f_5(\pi_5) = 41253 \longrightarrow f_6(\pi_6) = 412536 \\ & \xrightarrow{(4567)} & f_7(\pi_7) = 5126374 \xrightarrow{(45)} & f_8(\pi) = f_8(\pi_8) = 41263785. \end{array}$$

Note that here  $ldes(f_8(\pi)^{-1}) = 5 = 8 - bl(\pi)$  and  $ltrMax(f_8(\pi)) = \{1, 4, 6, 7\} = ltrMax(\pi)$ .

Our main claim is

**Theorem 4.5.** For each  $1 \le k \le n$ , the map  $f_n$  defined above is a left-to-right-maxima-preserving bijection from  $Bl_{n,k}$  onto  $L_{n,n-k}$ .

The (quite technical) proof of Theorem 4.5 is given in the full paper version [1].

Theorem 1.3 follows from Theorem 4.5, and implies in turn

**Corollary 4.6.** For every positive integer n,

$$\sum_{\pi \in S_n(321)} \mathbf{x}^{\text{Des}(\pi)} t^{\pi^{-1}(n)} q^{\text{bl}(\pi)} = \sum_{\pi \in S_n(321)} \mathbf{x}^{\text{Des}(\pi)} t^{\pi^{-1}(n)} q^{n-\text{ldes}(\pi^{-1})}.$$

*Proof.* By Observation 2.2, the set  $ltrMax(\pi)$  determines  $Des(\pi)$ . In addition,  $\pi^{-1}(n)$  is the maximal element of  $ltrMax(\pi)$ .

# 5 Proof of Theorem 1.2

Setting *t* = 1 in Corollary 4.6 gives

$$\sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{Des}(\pi)} q^{\operatorname{bl}(\pi)} = \sum_{\pi \in \mathcal{S}_n(321)} \mathbf{x}^{\operatorname{Des}(\pi)} q^{n-\operatorname{Ides}(\pi^{-1})},$$

and comparing the coefficients of  $q^k$  on both sides gives

$$\mathcal{Q}(Bl_{n,k}) = \mathcal{Q}(L_{n,n-k}) \qquad (1 \le k \le n).$$

It therefore suffices to prove the claim for  $L_{n,n-k}$  instead of  $Bl_{n,k}$ .

Note that a permutation  $\pi$  is 321-avoiding if and only if  $height(P_{\pi}) < 3$ , in the terminology of Section 2.1. The set

$$L_{n,n-k} = \{\pi \in S_n(321) : \operatorname{ldes}(\pi^{-1}) = n - k\}$$
$$= \{\pi \in S_n : \operatorname{height}(P_\pi) < 3 \text{ and } \operatorname{ldes}(P_\pi) = n - k\}$$

is therefore a disjoint union of Knuth classes and thus, by Proposition 2.7, Schur-positive. By the above description of  $L_{n,n-k}$ , Fact 2.3 and Proposition 2.6,

$$\begin{aligned} \mathcal{Q}(L_{n,n-k}) &= \sum_{\pi \in L_{n,n-k}} \mathcal{F}_{n,\mathrm{Des}(\pi)} = \sum_{\substack{\lambda \vdash n \\ \ell(\lambda) < 3}} \sum_{\substack{P \in \mathrm{SYT}(\lambda) \\ \mathrm{Ides}(P) = n-k}} \sum_{\substack{Q \in \mathrm{SYT}(\lambda) \\ \mathcal{F}_{n,\mathrm{Des}(Q)}} \mathcal{F}_{n,\mathrm{Des}(Q)} \\ &= \sum_{\substack{\lambda \vdash n \\ \ell(\lambda) < 3}} |\{P \in \mathrm{SYT}(\lambda) : \mathrm{Ides}(P) = n-k\}|s_{\lambda}. \end{aligned}$$

We conclude that, for every  $\lambda \vdash n$ ,

$$\langle \mathcal{Q}(Bl_{n,k}), s_{\lambda} \rangle = \langle \mathcal{Q}(L_{n,n-k}), s_{\lambda} \rangle = \begin{cases} |\{P \in \text{SYT}(\lambda) : \text{ldes}(P) = n-k\}|, & \text{if } \ell(\lambda) < 3; \\ 0, & \text{otherwise.} \end{cases}$$
(5.1)

For k = n we have ldes(P) = 0 only for the unique tableau of shape  $\lambda = (n)$ , so that

$$\langle \mathcal{Q}(L_{n,0}), s_{\lambda} \rangle = \delta_{\lambda,(n)}$$

and therefore

$$\mathcal{Q}(L_{n,0}) = s_{(n)}$$

as claimed.

Assume now that  $1 \le k \le n-1$ , so that  $n \le (n-1) + (n-k)$ . By the Branching Rule [12, Theorem 2.8.3], if  $\lambda \vdash n$  is not contained in (n-1, n-k) then

$$\langle \chi^{(n-1,n-k)} \downarrow_{\mathcal{S}_n}^{\mathcal{S}_{2n-k-1}}, \chi^{\lambda} \rangle = 0,$$

and also

$$\langle \mathcal{Q}(L_{n,n-k}), s_{\lambda} \rangle = 0$$

since a standard tableau  $P \in SYT(\lambda)$  (with  $\ell(\lambda) < 3$ , namely  $\lambda = (n - m, m)$  with either m = 0 or m > n - k) cannot have ldes(P) = n - k.

If  $\lambda = (n - m, m)$  with  $1 \le m \le n - k$  then

$$\langle \chi^{(n-1,n-k)} \downarrow_{\mathcal{S}_n}^{\mathcal{S}_{2n-k-1}}, \chi^{(n-m,m)} \rangle = |\operatorname{SYT}((n-1,n-k)/(n-m,m))|.$$

Rotating the shape by 180° within a  $2 \times n$  box, the right side is seen to be equal to

|SYT((n-m,m)/(k,1))|.

This, in turn, is equal to the number of SYT of shape (n - m, m) with the smallest k + 1 entries filling a (k, 1) shape in some specific order, say the one with 1, ..., k in the first row and k + 1 in the second. These are exactly the SYT of shape (n - m, m) with first descent equal to k. By Lemma 2.8, this number is equal to the number of SYT of shape (n - m, m) with last descent equal to n - k, namely to

$$|\{P \in SYT(\lambda) : Ides(P) = n - k\}| = \langle \mathcal{Q}(L_{n,n-k}), s_{\lambda} \rangle.$$

Thus

$$\mathcal{Q}(L_{n,n-k}) = \operatorname{ch}(\chi^{(n-1,n-k)} \downarrow_{\mathcal{S}_n}^{\mathcal{S}_{2n-k-1}}),$$

as claimed.

# 6 Final remarks

### 6.1 Hilbert series

Let  $P_n/I_n$  be the quotient of the polynomial ring  $P_n = \mathbb{Q}[x_1, ..., x_n]$  by the ideal generated by quasi-symmetric functions without constant term. This algebra was studied by Aval, Bergeron and Bergeron [5], who determined its Hilbert series with respect to the grading by total degree in terms of statistics on Dyck paths. An alternative description follows from Corollary 4.6.

**Proposition 6.1.** The Hilbert series of the quotient  $P_n/I_n$ , graded by total degree, is equal to

$$\sum_{\pi \in \mathcal{S}_n(321)} q^{n-\mathrm{bl}(\pi)}$$

It is now desired to find two different bases for the graded ring  $P_n/I_n$ , both indexed by 321-avoiding permutations, with total degree equal to the block-number and to the last descent, respectively. Determining a nicely behaved linear action of the symmetric group (or of the Temperley-Lieb algebra) on these bases may provide a representation theoretic proof of Corollary 4.6.

### 6.2 Schur-positive pattern-statistic pairs

Sagan and Woo [13] raised the problem of finding Schur-positive pattern-avoiding sets. A natural goal is to look, further, for Schur-positive statistics on pattern-avoiding sets.

**Definition 6.2.** Let stat :  $S_n \longrightarrow \mathbb{N}$  be a permutation statistic, and let  $\emptyset \neq \Pi \subseteq S_m$  be a nonempty set of patterns. The *pattern-statistic pair* ( $\Pi$ , stat) is *Schur-positive* if

$$\mathcal{Q}(\{\pi \in \mathcal{S}_n(\Pi) : \operatorname{stat}(\pi) = k\})$$

is Schur-positive for all integers  $n \ge 1$  and  $k \ge 0$ .

By Proposition 2.7, sets of permutations which are closed under Knuth relations are Schur-positive. It follows that if  $S_n(\Pi)$  is closed under Knuth relations for every n, and the statistic stat is also invariant under these relations, then ( $\Pi$ , stat) is a Schur-positive pair. For example, letting ides( $\pi$ ) :=  $|\text{Des}(\pi^{-1})|$  and  $e_m \in S_m$  be the identity permutation, the pair ( $\{e_m\}$ , ides) is Schur-positive. For similar reasons, the pair ( $\{132, 312\}$ , imaj) is Schur-positive, where imaj( $\pi$ ) is the major index of  $\pi^{-1}$ .

An example of a different type was given in this paper: By Theorem 1.2, ({321}, bl) is a Schur-positive pair. Note that the block number is not invariant under Knuth relations.

**Problem 6.3.** Find other Schur-positive pattern-statistic pairs, which are not invariant under Knuth relations.

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